

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rodriguez et al.

§ Group Art Unit: 2165

Serial No.: 09/329,461

§ Examiner: Nguyen, Cuong H.

Filed: June 10, 1999

§ Attorney Docket No.: AT9-99-085

**For: Method and Apparatus for  
Encoding Transactions for Goods and  
Services using an E-Receipt**

*H/W.S.*  
*3-5-02*

**Certificate of Transmission Under 37 C.F.R. § 1.8(a)**  
 I hereby certify this correspondence is being transmitted via facsimile to the Assistant Commissioner of Patents, Washington, D.C. 20231, facsimile number (703) 746-7238 on March 4, 2002.

By:

*Krista Douthitt*  
 Krista DouthittNOTICE OF APPEAL

Assistant Commissioner of Patents  
 Washington, D.C. 20231

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the office action dated December 6, 2001 finally rejecting claims 1-14 and 25-46.

A fee of \$320.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account No. 09-0447. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to Deposit Account No. 09-0447.

Respectfully submitted,

*Duke W. Yee*  
 Duke W. Yee  
 Reg. No. 34,285  
 CARSTENS YEE & CAHOON, LLP  
 P.O. Box 802334  
 Dallas, Texas 75380  
 (972) 367-2001  
 ATTORNEY FOR APPLICANT

**Carstens,  
Yee &  
Cahoon, L.L.P.**

13760 Noel Road  
Suite 900  
Dallas, Texas 75240

Main No. (972) 367-2002  
Facsimile (972) 367-2002

Official



## Facsimile Cover Sheet

To: Assistant Commissioner of Patents for Examiner Cuong H. Nguyen Group Art Unit 2165	Facsimile No.: 703/746-7238
From: Krista Douthitt Paralegal to Duke Yee	No. of Pages Including Cover Sheet: 3
<p>Message:</p> <p>Enclosed herewith:</p> <ul style="list-style-type: none"> <li>• Transmittal Document; and</li> <li>• Notice of Appeal.</li> </ul>	
<p>Re: Application No. 09/329,461 Attorney Docket No: AT9-99-085</p>	
<p>Date: Monday, March 04, 2002</p>	
<p><b>Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</b></p>	<p><i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i></p>